

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

In re Application of:

Wolfgang Christian HANS

Lothar STEIDLER

Erik René REMAUT

§ Art Group No.:

§ Examiner:

Serial No.: 10/030,390

§ Atty. Dkt.: DCLQ002---

§ 13475.0002.PCUS00

Filing Date:

§ § 371 filing of International Application

For: DELIVERY OF TREFOIL PEPTIDES

§ No.: PCT/EP00/06343 filed 5 July 2000

with Sean  
Sack

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. §371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE  
(DO/EO/US) DATED MARCH 27, 2002**

Box PCT

Commissioner for Patents

Washington, D.C. 20231

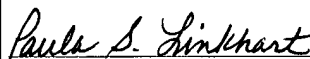
Sir:

## CERTIFICATE OF EXPRESS MAIL

NUMBER EL 831787690 US

DATE OF DEPOSIT April 16, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.



Paula S. Linkhart

In response to the Notification of Missing Requirements under 35 U.S.C. 371 dated March 27, 2002 there are enclosed herewith:

- (a) A return copy of Notification of Missing Requirements under 35 U.S.C. 371;
- (b) A Declaration executed on behalf of the inventors: Wolfgang Christian HANS, Lothar STEIDLER and Erik René REMAUT
- (c) A signed Power of Attorney;
- (d) An Assignment document with coversheet;
- (e) Priority Claim and Preliminary Amendment; and
- (f) Statement as Required Under 37 C.F.R. § 1.821(f) and (g), paper copy of sequence listing and computer readable form of sequence listing.

Fees

The Commissioner is authorized to deduct the following fees from Deposit Account No. **01-2508/13475.0002.PCUS00** as noted on the Notice of Missing Requirements:

|                           |              |
|---------------------------|--------------|
| 2 claims over 20          | \$ 36        |
| Multiple dependent claims | \$280        |
| <u>Assignment filings</u> | <u>\$ 40</u> |
| Total                     | <b>\$356</b> |

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees Deposit Account No. **01-2508/13475.0002.PCUS**.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

04/19/2002 UEDUVIJE 00000151 012508 10030390

01 FC:966 36.00 CH  
02 FC:968 280.00 CH

HOWREY SIMON ARNOLD & WHITE, LLP  
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Date: April 16, 2002

Respectfully submitted,



Patricia A. Kammerer  
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UNITED STATES PATENT AND TRADEMARK OFFICE

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|                             |                         |                  |
|-----------------------------|-------------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT   | ATTY. DOCKET NO. |
| 10/030,390                  | Wolfgang Christian Hans | DCKQ:002         |

|                               |
|-------------------------------|
| INTERNATIONAL APPLICATION NO. |
|-------------------------------|

PCT/EP00/06343

|                  |               |
|------------------|---------------|
| I.A. FILING DATE | PRIORITY DATE |
|------------------|---------------|

07/05/2000

07/05/1999

Patricia Kammerer  
Howrey Simon Arnold & White  
750 Bering Drive  
Houston, TX 77057-2198

CONFIRMATION NO. 2253

371 FORMALITIES LETTER



\*OC000000007707350\*

Date Mailed: 03/27/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
    - For Rules Interpretation, call (703) 308-4216
    - To Purchase PatentIn Software, call (703) 306-2600
    - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
  - Additional claim fees of **\$316** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$316** for a Large Entity:

- Total additional claim fee(s) for this application is **\$316**
  - \$36 for 2 total claims over 20.
  - \$280 for multiple dependant claims surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.

INTERNATIONAL APPLICATION NO.

ATTY. DOCKET NO.

10/030,390

PCT/EP00/06343

DCKQ:002

FORM PCT/DO/EO/905 (371 Formalities Notice)